



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Casimer M. DeCusatis, et al.

**Examiner:** Dung T. Nguyen

**Serial No.:** 09/865,256

**Group Art Unit:** 2855

**Filed:** May 25, 2001

**Docket:** FIS920010069US1 (14401)

**For:** APPARATUS AND METHOD FOR  
WAVELENGTH-LOCKED LOOPS  
FOR SYSTEMS AND APPLICATIONS  
EMPLOYING ELECTROMAGNETIC SIGNALS

**Dated:** December 17, 2004

**Confirmation No.** 3977

Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,068,864, dated November 26, 1991, issued to Javan;
2. U.S. Patent No. 5,543,935, dated August 6, 1996, issued to Harrington;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on December 17, 2004.

**Dated:** December 17, 2004

  
Steven Fischman

3. International Patent Application No. WO 99/43060 dated August 26, 1999; and
4. European Patent Application No. EP 0 840 468 A3, dated May 6, 1998.

Applicants are submitting a copy of International Patent Application No. WO 99/43060 and European Patent Application No. EP 0 840 468 A3. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



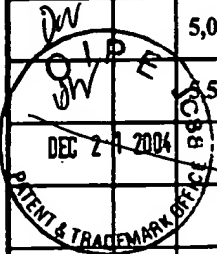
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>FIS920010069US1 (14401)</b>		Application Number <b>09/865,256</b>	
				Applicant(s) <b>Casimer M. DeCusatis, et al.</b>			
				Filing Date <b>May 25, 2001</b>		Group Art Unit <b>2855-2828</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,068,864	11/26/91	Javan			
		5,543,935	08/06/96	Harrington			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE


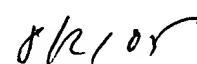
  

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
02		WO 99/43060	08/26/99	PCT				
02		EP 0 840 468 A3	05/06/98	Europe				

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER 	DATE CONSIDERED 
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.